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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/055,316	01/23/2002	Yuuya Sone	S004-4528

ADAMS & WILKS  
31st Floor  
50 Broadway  
New York, NY 10004

CONFIRMATION NO. 7997

## FORMALITIES LETTER



\*OC000000007644449\*

Date Mailed: 03/14/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The application was filed in a language other than English. Applicant is required to provide an English translation of the specification and a statement that the translation is accurate. (See 37 CFR 1.52(d)).
- Applicant must file an English translation of the application, the \$ 130 fee set forth in 37 CFR 1.17(i), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
- The balance due by applicant is \$ 260.
- Because your specification was filed in a language other than English, the Office was unable to determine the number of claims submitted. Additional claim fees may be due once the number of claims can be determined.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing figures contain text that is not in English (including, for example, a flow chart that was originally not in English that has been marked up to include the English text) see 37 CFR 1.84(p)(2) and 37 CFR 1.52(d)(1);

/02/2002 CCHAU1 00000103 10055316

FC:139  
FC:105130.00 OP  
130.00 OP

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*A copy of this notice **MUST** be returned with the reply.*

M-WAILE

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

100546-0400



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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Application of :  
Yuuya SONE :  
Serial No. 10/055,316 :  
Filed: January 23, 2002 :  
For: USER INTERFACE IN :  
ANALYSING SYSTEM : Docket No. S004-4528

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COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, DC 20231

Attn: Box Missing Part

**RESPONSE TO NOTICE TO FILE MISSING  
PARTS OF NONPROVISIONAL APPLICATION**

S I R:

On March 14, 2002, the PTO issued a Notice To File Missing Parts Of Nonprovisional Application ("Notice") advising that the application was filed without a declaration and providing a two-month period in which to submit the declaration and surcharge for late filing thereof. Applicants were also required to file an English translation of their application together with a statement that the translation is accurate within the two-month period together with payment of the surcharge for the late filing thereof.

MAILING CERTIFICATE ON  
LAST PAGE

1055316-040302

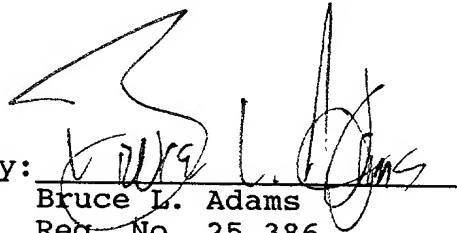
The Notice also requires submission of substitute drawings because the drawing figures filed with the application contain text that is not in English.

In response to the PTO Notice, a duly signed declaration, an English translation of the application together with a Statement of Accuracy and four sheets of new substitute formal drawings containing thereon Figs. 1-7 are submitted herewith together with a check in the sum of \$260.00 to cover the required surcharges for the late filing of these documents. Also submitted is a copy of the PTO Notice. Should the check prove insufficient for any reason, authorization is hereby given to charge any such deficiency to Deposit Account No. 01-0268.

It is respectfully requested that the processing of the application be completed, and that the filing receipt be issued in due course.

Respectfully submitted,

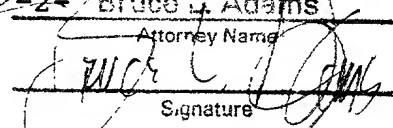
ADAMS & WILKS  
Attorneys for Applicant

By:   
Bruce L. Adams  
Reg. No. 25,386

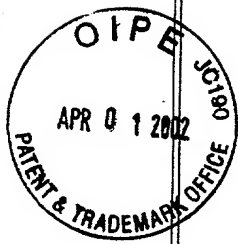
50 Broadway  
31st Floor  
New York, NY 10004  
(212) 809-3700

MAILING CERTIFICATE

I hereby certify that this correspondence is being deposited with the United States Postal Service as first-class mail in an envelope addressed to: Commissioner of Patents & Trademarks, Washington, D.C. 20231, on the date indicated below.

-2- Bruce L. Adams  
Attorney Name  
  
Signature  
March 21, 2002  
Date

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Application of :  
Yuuya SONE :  
Serial No. 10/055,316 :  
Filed: January 23, 2002 :  
For: USER INTERFACE IN :  
ANALYSING SYSTEM : Docket No. S004-4528

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COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, DC 20231

STATEMENT OF ACCURACY

S I R:

Pursuant to 37 CFR §1.52(d), the undersigned states  
that the attached English translation is an accurate  
translation of the originally-filed Japanese-language  
application.

Dated this 12th day of March, 2001.

  
(signature)

Name: Masaki Hori

Address:  
c/o Seiko Instruments Inc.  
8, Nakase 1-chome, Mihama-ku  
Chiba-shi, Chiba, JAPAN

2001-03-12